

PID's, UFD's and Euclidean Rings

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$$\begin{aligned} 0 \neq r \in R \setminus R^* \text{ is prime} &\iff (r \mid s \cdot t \Rightarrow r \mid s \text{ or } r \mid t) \\ &\iff \langle r \rangle \text{ is a prime ideal.} \end{aligned}$$

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e. $R = K[[x]]$: p irreducible $\Leftrightarrow p$ prime $\Leftrightarrow p = \text{unit} \cdot x$
 $\Leftrightarrow \text{ord}(p) = 1$.

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- a. R is Euclidean $\iff \exists \nu : R \setminus \{0\} \rightarrow \mathbb{N}$ such that

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with $r = 0$ or $0 \leq \nu(r) < \nu(b)$.

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Call this decomposition a **division with remainder (DwR)**.

- b. R is a **PID (principle ideal domain)** : \iff all ideals are principle.
- c. R is a **UFD (unique factorisation domain)** : \iff
- $$(0 \neq r \in R \setminus R^* \implies \exists p_i \text{ prime} : r = p_1 \cdots p_k).$$

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- b. $K[x]$ is Euclidean with $\nu(f) = \deg(f)$.
- c. $K[[x]]$ is Euclidean with $\nu(f) = \text{ord}(f)$.
- d. $\mathbb{Z}[i] = \{x + iy \mid x, y \in \mathbb{Z}\} \leq \mathbb{C}$ is Euclidean with

$$\nu(x + iy) = |x + iy|^2 = x^2 + y^2,$$

it is called the Ring of Gaussian Integers.

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b. R ID & $f_n \in R^*$ $\implies \exists! q, r \in R : g = q \cdot f + r$.

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1.28 Theorem

$$R \text{ Euclidean} \implies R \text{ PID.}$$

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$$R \text{ Euclidean} \implies R \text{ PID.}$$

Proof:

Imitate the proof for \mathbb{Z} replacing “minimal” by “minimal w.r.t. ν ”. □

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- c. $\text{Spec}(R) = \mathfrak{m} - \text{Spec}(R) \cup \{\langle 0 \rangle\}$

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i.e. if $r = p_1 \cdots p_k = q_1 \cdots q_l$ with p_i, q_i irredu., then

- $k = l$, and
- after reordering $\langle p_i \rangle = \langle q_i \rangle$ for all i .

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$$\iff r_1, r_2 \mid l \text{ and } \frac{r_1 \cdot r_2}{l} \in \gcd(r_1, r_2).$$

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- c. r prime in $R \implies r$ prime in $R[x]$.

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In particular, $K[x_1, \dots, x_n]$ is not a PID for $n \geq 2$.

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1.41 Theorem

$\mathbb{Z}\left[\frac{1+\sqrt{-19}}{2}\right]$ is a PID, but not Euclidean.

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1.45 Remark

We have seen in Theorem 1.41, Corollary 1.39 and 1.40 that:

$$R \text{ is Euclidean} \implies R \text{ is a PID} \implies R \text{ is a UFD},$$

but

$$R \text{ is Euclidean} \not\iff R \text{ is a PID} \not\iff R \text{ is a UFD}.$$

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Idea: $g = ua - vb \in \gcd(a, b)$ and $\langle g \rangle = \langle a, b \rangle!$

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Idea: $g = ua - vb \in \gcd(a, b)$ and $\langle g \rangle = \langle a, b \rangle!$

1.43 Proposition R be Euclidean, $0 \neq p \in R \setminus R^*$ minimal w.r.t ν ,
 $\pi : R \rightarrow R/\langle p \rangle : a \mapsto \bar{a}$. Then:

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Idea: $g = ua - vb \in \gcd(a, b)$ and $\langle g \rangle = \langle a, b \rangle!$

1.43 Proposition R be **Euclidean**, $0 \neq p \in R \setminus R^*$ minimal w.r.t ν ,
 $\pi : R \rightarrow R/\langle p \rangle : a \mapsto \bar{a}$. Then:

a. p is **prime** & $K = R/\langle p \rangle$ is a **field**.

PID's, UFD's and Euclidean Rings

1.42 Proposition Let R be an ID.

Then R is a PID $\iff \exists \alpha : R \rightarrow \mathbb{N}$ such that

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PID's, UFD's and Euclidean Rings

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- $\pi(R^*) = K^*$.

PID's, UFD's and Euclidean Rings

Proof of Theorem 1.41, see [Bru00]:

Define a Norm $N : R \rightarrow \mathbb{N}$ on $R = \mathbb{Z}[\omega]$, $\omega = \frac{1+\sqrt{-19}}{2}$ by

$$N(a + b\omega) = |a + b\omega|^2$$

PID's, UFD's and Euclidean Rings

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Thus:

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PID's, UFD's and Euclidean Rings

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And hence if $x = a + b \cdot \omega$:

$$b^2 = 0, \left(a + \frac{b}{2}\right)^2 = 1 \implies b = 0, a \in \{1, -1\}.$$

PID's, UFD's and Euclidean Rings

Claim: 2 and 3 are irreducible.

$$2 = x \cdot y, \quad \Rightarrow \quad 4 = |x|^2 \cdot |y|^2 = N(x) \cdot N(y),$$

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PID's, UFD's and Euclidean Rings

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The proof for 3 works analogously.

PID's, UFD's and Euclidean Rings

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- $K = R/\langle p \rangle$ is field.
- $a \in R \implies a = q \cdot p + r$ with $r = 0$ or $r \in R^*$.
- $|K^*| \leq |R^*|$.

PID's, UFD's and Euclidean Rings

Claim: R is not Euclidean.

Suppose R was Euclidean and choose $p \in R$ as in 1.43.

$$\implies |R/\langle p \rangle| = |K| \leq |R^*| + 1 = 3.$$

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Note:

$$|R/\langle 2 \rangle| = \left| \left\{ \bar{0}, \bar{1}, \overline{\sqrt{-19}}, \overline{1 + \sqrt{-19}} \right\} \right| = 4$$

and

$$|R/\langle 3 \rangle| = \left| \left\{ \bar{0}, \bar{1}, \bar{2}, \overline{\sqrt{-19}}, \overline{1 + \sqrt{-19}}, \overline{2 + \sqrt{-19}} \right\} \right| = 6.$$

PID's, UFD's and Euclidean Rings

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$$\implies \left\{ \begin{array}{l} q \cdot p = 1 \\ \text{\color{red}\tiny \not \color{green}\tiny \not \color{red}\tiny \not} \text{ to } p \text{ prime,} \end{array} \right.$$

PID's, UFD's and Euclidean Rings

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$$\implies \begin{cases} q \cdot p = 1 & \text{\color{red}{\$ \$ \$} to } p \text{ prime,} \\ q \cdot p = 3 \Rightarrow p \mid 3 & \text{\color{red}{\$ \$ \$} to 3 irred. \& } \langle p \rangle \neq \langle 3 \rangle. \end{cases}$$

PID's, UFD's and Euclidean Rings

Claim:

$$\forall x, y \in R : 0 \neq y \nmid x \quad \exists u, v \in R : 0 < \left| u \cdot \frac{x}{y} - v \right|^2 < 1.$$

PID's, UFD's and Euclidean Rings

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Note:

$$\frac{x}{y} \in \mathbb{Q}[\omega] \implies \exists a', b', a, b, q, s \in \mathbb{Z} \text{ with } 0 \leq a < q, 0 \leq b < s,$$

$$1 \in \gcd(a, q) \text{ and } 1 \in \gcd(b, s)$$

$$\text{such that } \frac{x}{y} = \left(a' + \frac{a}{q} \right) + \left(b' + \frac{b}{s} \right) \cdot \omega.$$

PID's, UFD's and Euclidean Rings

Aim: $0 < \left| u \cdot \frac{x}{y} - v \right|^2 < 1$ where $\frac{x}{y} = \left(a' + \frac{a}{q} \right) + \left(b' + \frac{b}{s} \right) \cdot \omega$.

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If $u', v' \in R$ such that

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If $u', v' \in R$ such that

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$$u \cdot \frac{x}{y} - v = u' \cdot \left(\frac{a}{q} + \frac{b}{s} \cdot \omega \right) + u' \cdot (a' + b' \cdot \omega) - v' - u' \cdot (a' + b' \cdot \omega)$$

PID's, UFD's and Euclidean Rings

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$$u \cdot \frac{x}{y} - v = u' \cdot \left(\frac{a}{q} + \frac{b}{s} \cdot \omega \right) - v'.$$

We may, therefore, assume that $a' = b' = 0$.

PID's, UFD's and Euclidean Rings

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- $0 \leq a < q$,
- $0 \leq b < s$,
- $1 \in \gcd(a, q)$, and
- $1 \in \gcd(b, s)$.

PID's, UFD's and Euclidean Rings

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PID's, UFD's and Euclidean Rings

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$$q \nmid s \cdot a \implies \exists 0 < d < q, c \in \mathbb{Z} : sa = cq + d,$$

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and $u = s$ and $v = c + b\omega$ works:

$$\left| s \cdot \frac{x}{y} - (c + b\omega) \right|^2 = \left| \frac{sa}{q} + b\omega - c - b\omega \right|^2 = \left| \frac{d}{q} \right|^2.$$

PID's, UFD's and Euclidean Rings

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2nd Case $b \neq 0$, $q \nmid s$: Then $u = s$ and $v = c + b\omega$ works.

3rd Case $b \neq 0$, $q \mid s$, $s > 2$:

PID's, UFD's and Euclidean Rings

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$$1 \in \gcd(s, b) \implies \exists m \in \mathbb{Z} : m \cdot b \equiv 1 \pmod{s}$$

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for suitable $l, k, a_1, a_2 \in \mathbb{Z}$ such that $\left| \frac{a_1}{a_2} \right| \leq \frac{1}{2}$.

PID's, UFD's and Euclidean Rings

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Then $u = m$ and $v = l + k\omega$ works:

$$\left| u \cdot \frac{x}{y} - v \right|^2 = \left| \frac{a_1}{a_2} + \frac{1}{s} \cdot \frac{1 + \sqrt{-19}}{2} \right|^2$$

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Then $u = m$ and $v = l + k\omega$ works:

$$\begin{aligned} \left| u \cdot \frac{x}{y} - v \right|^2 &= \left| \frac{a_1}{a_2} + \frac{1}{s} \cdot \frac{1 + \sqrt{-19}}{2} \right|^2 = \left(\frac{a_1}{a_2} + \frac{1}{2s} \right)^2 + \frac{19}{4s^2} \\ &= \frac{a_1^2}{a_2^2} + \frac{a_1}{a_2 s} + \frac{20}{4s^2} \end{aligned}$$

PID's, UFD's and Euclidean Rings

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Then $u = m$ and $v = l + k\omega$ works:

$$\begin{aligned} 0 \neq \left| u \cdot \frac{x}{y} - v \right|^2 &= \left| \frac{a_1}{a_2} + \frac{1}{s} \cdot \frac{1 + \sqrt{-19}}{2} \right|^2 = \left(\frac{a_1}{a_2} + \frac{1}{2s} \right)^2 + \frac{19}{4s^2} \\ &= \frac{a_1^2}{a_2^2} + \frac{a_1}{a_2 s} + \frac{20}{4s^2} \leq \frac{1}{4} + \frac{1}{6} + \frac{20}{36} = \frac{35}{36} < 1. \end{aligned}$$

PID's, UFD's and Euclidean Rings

Aim: $0 < \left| u \cdot \frac{x}{y} - v \right|^2 < 1$ where $\frac{x}{y} = \frac{a}{q} + \frac{b}{s} \cdot \omega$.

1st Case $b = 0$: Then $u = 1$ and $v = 0$ works.

2nd Case $b \neq 0, q \nmid s$: Then $u = s$ and $v = c + b\omega$ works.

3rd Case $b \neq 0, q \mid s, s > 2$: Then $u = m, v = l + k\omega$ works.

4th Case $b \neq 0, q \mid s, s = 2$:

PID's, UFD's and Euclidean Rings

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4th Case $b \neq 0, q \mid s, s = 2$: Then $q = s = 2$

$$\Rightarrow \left\{ \begin{array}{l} \frac{x}{y} = \frac{\omega}{2} \implies u = 1 + \omega, v = -2 + \omega \\ \frac{x}{y} = \frac{1+\omega}{2} \implies u = \omega, v = -2 + \omega \end{array} \right\} \text{ works, since}$$

PID's, UFD's and Euclidean Rings

Aim: $0 < \left| u \cdot \frac{x}{y} - v \right|^2 < 1$ where $\frac{x}{y} = \frac{a}{q} + \frac{b}{s} \cdot \omega$.

4th Case $b \neq 0, q \mid s, s = 2$: Then $q = s = 2$

$$\Rightarrow \left\{ \begin{array}{l} \frac{x}{y} = \frac{\omega}{2} \implies u = 1 + \omega, v = -2 + \omega \\ \frac{x}{y} = \frac{1+\omega}{2} \implies u = \omega, v = -2 + \omega \end{array} \right\} \text{ works, since}$$

$$0 \neq \left| u \cdot \frac{x}{y} - v \right|^2 = \left| -\frac{1}{2} \right|^2 = \frac{1}{4} < 1,$$

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This proves the claim:

$$\forall x, y \in R : 0 \neq y \nmid x \quad \exists u, v \in R : 0 < \left| u \cdot \frac{x}{y} - v \right|^2 < 1.$$

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and hence

Proposition 1.42 with $\alpha = N \implies R$ is a **PID!**



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1.45 Remark

a. $|K : \mathbb{Q}| = 2 \iff K = \mathbb{Q}[\sqrt{d}]$, $0, 1 \neq d \in \mathbb{Z}$ squarefree.

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$$\omega_d = \begin{cases} \sqrt{d}, & d \equiv 2, 3 \pmod{4} \\ \frac{1+\sqrt{d}}{2}, & d \equiv 1 \pmod{4}. \end{cases}$$

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and

$\mathbb{Z}[\omega_d]$ is Euclidean $\iff d \in \{-1, -2, -3, -7, -11\}$.

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