

## Introduction to Commutative Algebra and Algebraic Geometry

### Solution to Exercise Sheet 4

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#### Exercise 1.

Let  $X$  be a noetherian topological space and let  $X = X_1 \cup \dots \cup X_n$  be the decomposition into irreducible components. Show: If  $U \subset X$  is a non-empty open subset, then the irreducible components of  $U$  are exactly the sets  $X_i \cap U$  with  $i = 1, \dots, n$  for which  $X_i \cap U \neq \emptyset$ .

**Proof:** Let  $U \subset X$  be a non-empty open subset and let  $X = X_1 \cup \dots \cup X_n$  be the minimal decomposition into irreducible components.

Without restriction we assume that  $U \cap X_i \neq \emptyset$  for  $i = 1, \dots, r$ , with  $r \leq n$  and  $U \cap X_i = \emptyset$  for all  $i \geq r + 1$ .

We want to prove that  $U = (X_1 \cap U) \cup \dots \cup (X_r \cap U)$  is a minimal irreducible decomposition of  $U$ . By the uniqueness of the minimal decomposition the claim then follows.

We start with showing:  $X_i \cap U$  is irreducible in  $U$  for any  $i = 1, \dots, r$ .

Assume  $X_i \cap U$  is reducible in  $U$ . Then there exist  $Y_1, Y_2 \subsetneq U$  closed, s.t.  $Y_1, Y_2 \neq U \cap X_i$  and

$$X_i \cap U = Y_1 \cup Y_2 = (Z_1 \cap U) \cup (Z_2 \cap U)$$

where  $Z_1, Z_2 \subset X$  closed with  $Y_1 = Z_1 \cap U$ ,  $Y_2 = Z_2 \cap U$ .

Since  $X_i$  is irreducible and  $X_i \cap U$  is non-empty and open in  $X_i$ , it follows that

$$\overline{Z_1 \cap U} \cup \overline{Z_2 \cap U} = \overline{U \cap X_i} = X_i.$$

Since  $X_i$  is irreducible and  $\overline{Z_1 \cap U}, \overline{Z_2 \cap U}$  are closed in  $X_i$  (note that we do not need to distinguish between closed in  $X_i$  and closed in  $X$  here, because  $X_i$  is closed in  $X$ ), we can assume without loss of generality that  $X_i = \overline{Z_1 \cap U}$ . It follows that

$$X_i \cap U = \overline{Z_1 \cap U} \cap U.$$

Now  $\overline{Z_1 \cap U} \subset Z_1 \cap \overline{U}$ , since  $Z_1$  is closed in  $X$ . This implies

$$X_i \cap U = Z_1 \cap U = Y_1.$$

**Contradiction** to  $X_i \cap U$  reducible in  $U$ .

Therefore  $X_i \cap U$  is irreducible in  $U$  for all  $i = 1, \dots, r$ .

Hence

$$U = (X_1 \cap U) \cup \dots \cup (X_r \cap U)$$

is an irreducible decomposition of  $U$ . We need to show that it is minimal: If  $X_i \cap U \subset X_j \cap U$  for some  $i \neq j$ , it follows that  $\overline{X_i \cap U} \subset \overline{X_j \cap U}$ . But since  $X_i$  and  $X_j$  are irreducible,  $\overline{X_i \cap U} = X_i$  and  $\overline{X_j \cap U} = X_j$ , so  $X_i \subset X_j$ .

**Contradiction.**

So the decomposition  $U = (X_1 \cap U) \cup \dots \cup (X_r \cap U)$  of  $U$  into irreducible components is minimal. □

**Exercise 2.** a) Let  $\varphi : X \rightarrow Y$  be a continuous map of noetherian topological spaces and let  $Z \subset Y$  be the closure of the image  $\varphi(X)$ . Prove: The maximal number of irreducible components in the minimal decomposition of  $Z$  is bounded by the number of irreducible components of the minimal decomposition of  $X$ .

**Proof:** Let  $\varphi : X \rightarrow Y$  be a continuous map of noetherian topological spaces. Let  $X = X_1 \cup \dots \cup X_n$  be the minimal decomposition of  $X$  into irreducible components. By Prop. 1.6.3  $\varphi(X_i) \subset Z = \overline{\varphi(X)}$  is irreducible for each  $i = 1, \dots, n$ .

So we can write  $\varphi(X) = \varphi(X_1) \cup \dots \cup \varphi(X_n)$ . By exercise 3 of exercise sheet 2 it follows that

$$Z = \overline{\varphi(X)} = \overline{\varphi(X_1)} \cup \dots \cup \overline{\varphi(X_n)}.$$

Lemma 1.5.3 states that the closure of an irreducible set is still irreducible. Since some of the  $\overline{\varphi(X_i)}$  can be contained in each other (if  $\varphi$  is not injective) this decomposition is not necessarily minimal. But it follows that the maximal number of irreducible components of the minimal decomposition of  $Z$  is bounded by  $n$ . (This follows from the proof of Proposition 1.5.6) □

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- b) Let  $X = V(xy + x - y - 1) \subset \mathbb{C}^2$ ,  $Y = \mathbb{C}$  and let  $\varphi : X \rightarrow Y$  be defined by  $\varphi((a, b)) = a$ . Prove that  $X$  and  $Y$  are noetherian topological spaces, that  $\varphi$  is continuous with respect to the Zariski topology and that the number of irreducible components of the closure of  $\varphi(X)$  is strictly smaller than the number of irreducible components of  $X$ .

**Proof:** Firstly we consider  $K^n$  for a field  $K$ . Then  $K^n$  is a noetherian topological space with the Zariski topology: Let  $V_1 \supset V_2 \supset \dots$  be a descending chain of Zariski closed subset. Then we consider the associated chain of vanishing ideals in  $K[X_1, \dots, X_n]$ :  $I(V_1) \subset I(V_2) \subset \dots$ . Since  $K[X_1, \dots, X_n]$  is noetherian, the ascending chain of ideals has to become stationary. Since the  $V_i$  are closed in the Zariski topology we have  $V(I(V_i)) = V_i$ . This implies that the descending chain of closed sets in  $K^n$  also becomes stationary. So  $K^n$  is a noetherian topological space.

By the above argument it follows immediately that  $Y = \mathbb{C}$  is a noetherian topological space.

We know that  $X \subset \mathbb{C}^2$  is closed in the Zariski topology. By Remark 1.5.5 and the above argument it follows that  $X$  is a noetherian topological space with the induced subspace topology.

The map  $\varphi : X \rightarrow Y$  defined by  $\varphi((a, b)) = a$  is polynomial in each coordinate entry of  $Y$ , so by Prop. 1.6.2  $\varphi$  is continuous with respect to the Zariski topology.

It remains to determine the numbers of irreducible components of  $X$  and  $\overline{\varphi(X)}$ .

Now  $X = V(xy + x - y - 1)$  is not irreducible itself: We write  $xy + x - y - 1 = (x - 1)(y + 1)$  so  $I(X) = \langle (x - 1)(y + 1) \rangle$  is not prime, since  $(x - 1)(y + 1) \in I(X)$  but  $x - 1$  and  $y + 1 \notin I(X)$ . Alternatively we can write  $X = V(x - 1) \cup V(y + 1)$  and  $V(x - 1), V(y + 1) \subsetneq X$  are both closed. Both  $V(x - 1)$  and  $V(y + 1)$  are irreducible since  $\langle x - 1 \rangle$  and  $\langle y + 1 \rangle$  are prime ideals (prop. 1.5.2). So the decomposition of  $X$  has two irreducible components.

We have  $\overline{\varphi(X)} = Y$  since  $\varphi|_{V(y+1)}$  is bijective onto  $Y$ ,  $\varphi(V(x - 1)) = 1 \in Y$  and  $Y$  is closed. As  $Y = \mathbb{C}$  it is itself irreducible and therefore has only one irreducible component.  $\square$

### Exercise 3.

Let  $K$  be a field and let  $X := \{A \in \text{Mat}(n \times n; K) \mid \text{rank}(A) \leq 1\}$ . Prove:  $X$  is irreducible in  $\text{Mat}(n \times n; K) = K^{n \times n}$ .

**Proof:** We use Proposition 1.6.3, which states that the continuous image of an irreducible space is irreducible.

Let  $A \in X$ , then  $A$  is a square matrix of rank 1, so there is one row vector which generates the remaining  $n - 1$  rows. Hence we can write  $A$  as a product of an  $n$ -dimensional column vector and an  $n$ -dimensional row vector. Since any such product yields a rank 1  $n \times n$ -matrix, we can parametrise  $X$  via  $\varphi : K^n \times K^n \rightarrow X$ ,  $(v, w) \mapsto v \cdot w^t$ , where  $v, w \in K^n$  are column vectors. By the above arguments  $\varphi$  is surjective. Since any choice  $(v, w)$  determines  $A = v \cdot w^t$  uniquely,  $\varphi$  is also injective.

$X$  is a topological space with the subspace topology of the Zariski topology of  $K^{n \times n}$ .

$K^n \times K^n = K^{2n}$  is a topological space with the Zariski topology.

The mapping instruction is polynomial in each coordinate entry of  $X$ , so by Proposition 1.6.2  $\varphi$  is continuous.

$K^n \times K^n = K^{2n}$  is irreducible by Proposition 1.5.2 since the coordinate ring of  $K^{2n}$  is  $K[X_1, \dots, X_{2n}]$  which is an integral domain.

Now we can apply Proposition 1.6.3 to  $\varphi : K^{2n} \rightarrow X$ . It follows that  $X = \varphi(K^{2n})$  is irreducible.  $\square$