

## Lecture 2: Consistency Conditions and Interaction Potentials

Consider multi-time wave function  $\Psi(x_1, \dots, x_N)$ ,  $x_i = (x_i^0, \dot{x}_i^1, \ddot{x}_i^2, \dddot{x}_i^3) = (x_i^0, \dot{x}_i) \in \mathbb{R}^4$

$N$  multi-time equations  $i \frac{\partial \Psi}{\partial x_j^0} = H_j \Psi$ ,  $j=1, \dots, N$

$$\begin{aligned} \text{recall example from lecture 1: } \Psi(x_1, x_2) &= e^{-iH_1 x_1^0} e^{-iH_2 x_2^0} \Psi(0, \dot{x}_1, 0, \dot{x}_2) \\ &= e^{-iH_2 x_2^0} e^{-iH_1 x_1^0} \Psi(0, \dot{x}_1, 0, \dot{x}_2) \end{aligned}$$

$$\Rightarrow \text{need } [e^{-iH_1 x_1^0}, e^{-iH_2 x_2^0}] = 0 \Leftrightarrow [H_1, H_2] = 0$$

$\Rightarrow$  ok for non-interacting particles, i.e.,  $H_j$  acts only on  $j$ -th variable

$$(H_1 = H_1 \otimes 1_L, H_2 = 1_L \otimes H_2)$$

Common solution of  $N$  equations only for some  $H_j$

↳ these have to satisfy certain conditions, like  $[H_1, H_2] = 0$ ,

called **consistency conditions**

### I. Rigorous Formulations of Consistency Condition

first, necessary condition for solutions

consider solution to MT eq.s  $\Psi: \mathbb{R}^{4N} \rightarrow \mathbb{C}^k$ ,  $\Psi \in C^2$  (twice cont. differentiable)

$$\text{Then } [i \frac{\partial}{\partial t_j} - H_j, i \frac{\partial}{\partial t_k} - H_k] \Psi = 0 \quad \forall j \neq k$$

might act on all variables

now any given  $t_1, \dots, t_n$  can be times for specifying initial data

$\Rightarrow (\text{hs} = 0 \ \forall \psi \Rightarrow [\dots] = 0 \text{ everywhere})$

note:  $[i \frac{\partial}{\partial t_j} - H_j, i \frac{\partial}{\partial t_k} - H_k] \psi = 0$

$$\Rightarrow \underbrace{[i \frac{\partial}{\partial t_j}, i \frac{\partial}{\partial t_n}]}_{=0 \ (\psi \in C^2)} \psi - \underbrace{[i \frac{\partial}{\partial t_j}, H_k]}_{=i \left( \frac{\partial H_k}{\partial t_j} \right) \psi} \psi - \underbrace{[H_j, i \frac{\partial}{\partial t_n}]}_{-i \left( \frac{\partial H_j}{\partial t_n} \right) \psi} \psi + [H_j, H_k] \psi = 0$$

$$\Rightarrow \left( i \frac{\partial H_j}{\partial t_n} - i \frac{\partial H_k}{\partial t_j} + [H_j, H_k] \right) \psi = 0$$

next, consider Hilbert space framework:  $\psi: \mathbb{R}^n \rightarrow \mathcal{H}$ , e.g.,  $\mathcal{H} = L^2(\mathbb{R}^{3N}, \mathbb{C}^k)$   
 $\subseteq \text{Hilbert space}$   $\subseteq \text{spin space}$

note: • not what we ultimately want: rather  $\psi: \underline{\Sigma} \rightarrow \mathbb{C}^k$

• but technically nice to handle

set of spacelike configurations

Theorem: Let  $H_1, \dots, H_N$  self-adjoint (and time-independent) on  $\mathcal{H}$ . Then

Solution to MT eq.s exists  $\forall$  initial  $\psi(t_1, \vec{x}_1, \dots, t_N, \vec{x}_N)$

$\Leftrightarrow$

$$[H_j, H_k] = 0 \quad \forall j \neq k$$

note: • sol. here means  $\psi(t_1, \dots, t_N) = e^{-iH_j t_j} \psi(t_1, \dots, t_{j-1}, 0, t_{j+1}, \dots, t_N)$

• for unbounded  $H_j$ ,  $[H_j, H_k] = 0$  is def. via spectral projections

$$([\mathbb{1}_{A_j}(H_j), \mathbb{1}_{A_k}(H_k)] = 0 \quad \forall A_j, A_k \subset \mathbb{R})$$

Proof:  $[e^{-iH_j t_j}, e^{-iH_k t_k}] = 0 \Leftrightarrow [H_j, H_k] = 0$

□

Next: time-dependent  $H_j = H_j(t_1, \dots, t_n)$

Theorem: Let  $\mathcal{H} \ni H_j : \mathbb{R}^n \rightarrow \mathcal{S}(\mathcal{H})$  be smooth ( $\mathcal{S}(\mathcal{H})$  = bounded operators  $\mathcal{H} \rightarrow \mathcal{H}$ ). Then

Solution to MT eq.s exists  $\forall$  initial  $\psi(0, \vec{x}_1, \dots, 0, \vec{x}_n)$

$\Leftrightarrow$

$$[i \frac{\partial}{\partial t_j} - H_j, i \frac{\partial}{\partial t_k} - H_k] = 0 \quad \forall j \neq k$$

Proof:

recall:  $i \frac{d\psi}{dt} = H\psi$ ,  $H$  time-independent

$$\Rightarrow \psi(t) = \underbrace{e^{-iHt}}_{U(t)} \psi(0) \quad (H \text{ self-adjoint} \Leftrightarrow U(t) \text{ unitary propagator})$$

$$\hookrightarrow U(t) = 1 + \sum_{n=1}^{\infty} \frac{(-iHt)^n}{n!} = 1 + \sum_{n=1}^{\infty} (-i)^n \underbrace{\left[ \int_0^t dT_1 \int_0^{T_1} dT_2 \dots \int_0^{T_{n-1}} dT_n \right]}_{= \frac{t^n}{n!}} H^n$$

$$\text{now: } i \frac{d\psi}{dt} = H(t)\psi(t)$$

$$\Rightarrow \psi(t) = U(t,s)\psi(s) \quad (H(t) \text{ self-adjoint } \forall t \Leftrightarrow U(t,s) \text{ unitary})$$

$$\begin{aligned} \text{Dyson series: } U(t,s) &= 1 + \sum_{n=1}^{\infty} (-i)^n \int_s^t dT_1 \int_s^{T_1} dT_2 \dots \int_s^{T_{n-1}} dT_n H(T_1) \dots H(T_n) \\ &=: T e^{-i \int_s^t H(\tau) d\tau} \end{aligned}$$

note: •  $H$  bounded  $\Rightarrow U(t,s)$  bounded

$$\bullet U(t,s)U(s,t) = 1, U(t,s)U(s,r) = U(t,r)$$

similarly for  $\Psi$ : take  $N=2$ :  $\Psi(t_1, t_2) = U(t_1, s_1; t_2) \Psi(s_1, t_2)$

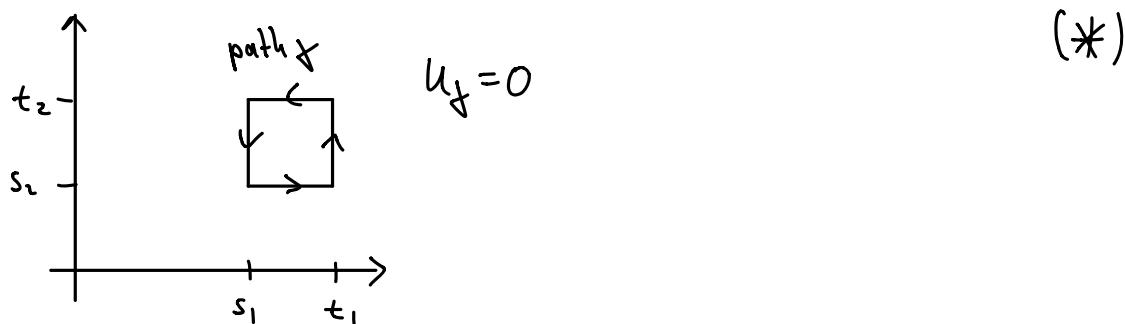
$$\text{with } U(t_1, s_1; t_2) = 1 + \sum_{n=1}^{\infty} (-i)^n \int_{s_1}^{t_1} dT_1 \int_{s_1}^{T_1} dT_2 \dots \int_{s_1}^{T_{n-1}} dT_n H_1(T_1, t_2) \dots H_1(T_n, t_2) \quad (\text{Dyson series})$$

$$\text{write } \Psi(t_1, t_2) = U(t_1, s_1; t_2) U(s_1, t_2; s_2) \Psi(s_1, s_2)$$

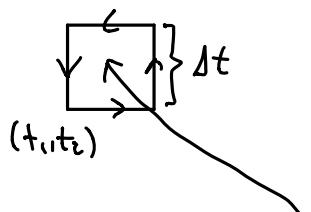
$$= U(t_1, t_2, s_2) U(t_1, s_1, s_2) \Psi(s_1, s_2)$$

so: existence of solution  $\forall \Psi(s_1, s_2)$  (or  $\forall \Psi(0, 0)$  by group property)

$$\Leftrightarrow U(t_1, s_1; t_2) U(s_1, t_2; s_2) = U(t_1, t_2, s_2) U(t_1, s_1, s_2) \quad \forall s_1, s_2, t_1, t_2 \in \mathbb{R}$$



now: consider



$$\text{Taylor expansion for } (s_1, s_2) \in \square : H_i(s_1, s_2) = H_i(t_1, t_2) + \sum_{k=1}^2 (s_k - t_k) \frac{\partial H_i}{\partial t_k}(t_1, t_2) + o(\Delta t)$$

$$\frac{o(\Delta t)}{\Delta t} \xrightarrow{\Delta t \rightarrow 0} 0$$

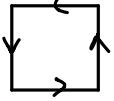
$$\Rightarrow U(t_1 + \Delta t, t_1, t_2) = 1 - i \int_{t_1}^{t_1 + \Delta t} dT_1 H_1(T_1, t_2)$$

$$- \int_{t_1}^{t_1 + \Delta t} dT_1 \int_{t_1}^{T_1} dT_2 H_1(T_1, t_2) H_1(T_2, t_2) + O(\Delta t^3)$$

$$= 1 - i H_1(t_1, t_2) \Delta t - \frac{i}{2} \frac{\partial H_1}{\partial t_1}(t_1, t_2) \Delta t^2 - \frac{1}{2} H_1(t_1, t_2)^2 \Delta t^2 + o(\Delta t^3)$$

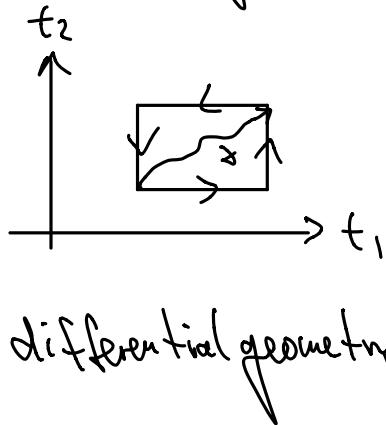
computation for other  $U$ 's fields

$$(*) \Leftrightarrow D = \left( -[H_1, H_2] - i \frac{\partial H_1}{\partial t_2} + i \frac{\partial H_2}{\partial t_1} \right) \Big|_{(t_1, t_2)} dt^2 + o(dt^3)$$

concatenation of  proves statement □

Note: different point of view:

- define  $U_f = T e^{-i \int_i^f H_j dt_j}$  for any path in  $(t_1, \dots, t_n)$ -plane



consistency  $\Leftrightarrow$  path-independence (fixed start/end points)

- differential geometry language :- base manifold  $T\mathbb{R}^N$

- fiber space  $\mathcal{H}$  (at each point)
- $\mathcal{L}$  cross-section of vector bundle
- covariant derivative  $D_j := \frac{\partial}{\partial t_j} - i H_j$
- $U_f$  parallel transport

$\Rightarrow$  path-independence ( $\Leftrightarrow$ ) curvature  $\underbrace{F_{ijk}}_0 = 0$

consistency condition from before

## II. Interaction Potentials

recall:  $\varphi(t, \vec{x}_1, \dots, \vec{x}_N) := \Psi((t, \vec{x}_1), \dots, (t, \vec{x}_N))$

$$\text{and } i \frac{\partial \varphi}{\partial t} = \underbrace{\left( \sum_{i=1}^N H_i^\text{free} \Big|_t \right)}_H \varphi$$

in non-relativistic quantum mechanics, one often considers

$$H = \underbrace{\sum_{i=1}^N \left( H_i^\text{free} + W(t, \vec{x}_i) \right)}_{\text{non-interacting part}} + \underbrace{\sum_{1 \leq i < j \leq N} V(\vec{x}_i - \vec{x}_j)}_{\text{interaction}}, \text{ e.g., Coulomb potential } V(\vec{x}) = \frac{\lambda}{|\vec{x}|}, H_i^\text{free} = -\Delta_i, H_i^\text{free} = H_i^\text{Dirac}$$

$\Rightarrow$  obvious idea: choose partial Hamiltonians  $H_j = H_j^\text{free} + V_j(x_1, \dots, x_N)$

note: • preferably  $(i \frac{\partial}{\partial x_j} - H_j) \Psi = 0$  should be Lorentz invariant

•  $H_j = H_j^\text{free} + V_j(x_j)$ ,  $V_j \in \mathbb{R}$  clearly non-interacting

but gauge transformation  $\tilde{\Psi} := e^{i \theta(x_1, \dots, x_N)} \Psi$  satisfies:  $i \frac{\partial \tilde{\Psi}}{\partial x_j} = (H_j^\text{free} + \tilde{V}_j) \tilde{\Psi}$

$\tilde{V}_j(x_1, \dots, x_N)$  in general

$\hookrightarrow$  see Exercise Session

$\Rightarrow$  Def.:  $H_j$  interacting  $\Leftrightarrow$  no gauge transformation gives  $\tilde{H}_j = H_j^\text{free} + \tilde{V}_j(x_j)$

Theorem: Let  $H_j^\text{free} = \text{free Dirac}$ ,  $V_j: \mathbb{R}^{4N} \rightarrow \mathbb{R}$  smooth. Then

Consistency condition holds  $\Leftrightarrow H_j$  not interacting

$\Rightarrow$  Interaction by potentials ruled out (for some class)

Proof: direct computation:

$$\begin{aligned}
 0 &= [H_i, H_j] - i \frac{\partial H_i}{\partial t_j} + i \frac{\partial H_j}{\partial t_i} \\
 &= [H_i^{\text{free}}, V_j] + [V_i, H_j^{\text{free}}] - i \frac{\partial V_j}{\partial t_i} + i \frac{\partial V_i}{\partial t_j} \\
 &= -i \sum_{a=1}^3 \left( \alpha_i^{(a)} \frac{\partial V_j}{\partial x_i^a} - \alpha_j^{(a)} \frac{\partial V_i}{\partial x_j^a} \right) - i \left( \frac{\partial V_j}{\partial t_i} - \frac{\partial V_i}{\partial t_j} \right)
 \end{aligned}$$

Note:  $1, \alpha_i^{(a)}, \alpha_j^{(a)}$  linearly independent

$$\Rightarrow V_j = V_j(\vec{x}_j, t_1, \dots, t_n)$$

Next: use  $\frac{\partial V_j}{\partial t_i} = \frac{\partial V_i}{\partial t_j}$  to conclude  $V_j = \tilde{V}_j(\vec{x}_j, t_j) + \underbrace{\frac{\partial \theta(t_1, \dots, t_n)}{\partial t_j}}$

can be removed by gauge transformation

□

Generalizations:

- consistency cond. only on  $\xi$  ✓
- $H_j^{\text{free}} = -i \sum_{a=1}^3 A_j^a(x_j) \frac{\partial}{\partial x_j^a} + B_j(x_j)$ ,  $A_j^a$  1 lin. indep.,  $A_j^a, B_j$  smooth ✓
- $V_j$  = matrix acting on  $j$ -th spin space ✓
- $H_j^{\text{free}}$  second order ✓

Theorem (Deckert, Nickel 2016):

Let  $\cdot V_j \in C^1(\mathbb{R}^{4n} \text{ or } \xi \rightarrow \mathbb{C}^{k \otimes k})$

$\cdot \exists$  sol.  $\Psi \in C^2$  to MT eq.s  $\forall \Psi((0, \vec{x}_1), (0, \vec{x}_2)) \in C_c^\infty$

Then  $V_j$  is not Poincaré invariant

Note:  $\exists$  counter examples with non-trivial spin-dependence, see Exercise Session

- Proof: sketch:
- evaluate condition
  - use linear independence
  - $V_1$  spanned by  $\mathbf{1}\mathbb{L}_2$  and  $\mathbf{f}_2^{(5)}$
  - translation invariance  $\Rightarrow$  explicit expressions for  $V_1, V_2$ , which are not Lorentz-invariant

### III. $\delta$ -range interactions

Other idea: "point-like" interactions when  $x_i = x_j$ , possibly with boundary conditions on  $\partial \Sigma$   
 $\hookrightarrow$  see lecture 3

Note: • for free Dirac in 3+1 dim.:  $i \frac{d\psi}{dt} = \sum_{j=1}^N H_j^{\text{Dirac}} \psi$  on  $(\mathbb{R}^3)^N \setminus D$   
 $D = \{ \text{some } x_i = x_j \}$

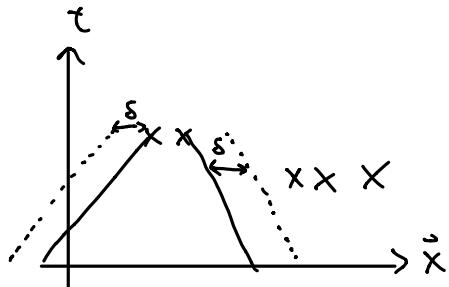
but then one can show that same eq. holds on all of  $(\mathbb{R}^3)^N$

$\hookrightarrow$  lecture 3: model in 1+1 dim.

Other idea: introduce cutoff  $\delta > 0$

• group particles into families with  $t_i = t_j \Rightarrow$  partition  $P = \{S_1, \dots, S_L\}$ ,  $S_\alpha \subset \{1, \dots, N\}$

$$\Rightarrow \mathcal{S}_{\delta, P} = \{ \forall i, j \in S_\alpha : t_i = t_j, \forall \alpha \neq \beta, i \in S_\alpha, j \in S_\beta : |\vec{x}_i - \vec{x}_j| > |t_i - t_j| + \delta \}$$



$$\Rightarrow \Psi \text{ on } \mathcal{S}_\delta = \bigcup_P \mathcal{S}_{\delta, P}$$

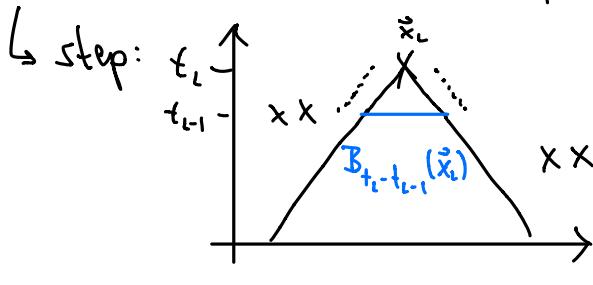
$$\Rightarrow \text{MT eq. 5: on } \mathcal{S}_{\delta, P}: i \frac{d\Psi}{dt|_{\alpha}} = \left( \sum_{j \in S_\alpha} H_j^{\text{Dirac}} + \sum_{i \neq j, i \in S_\alpha} W(\vec{x}_i - \vec{x}_j) \right) \Psi$$

$\alpha = 1, \dots, L$        $W(\vec{x}) = 0 \text{ for } |\vec{x}| \geq \delta$

Theorem: MT eq.s consistent for smooth W.

Proof sketch: induction in L

↳ start: ok (one-time evolution)



↳ step: crucial  $\psi(\dots, t_L)$  determined by initial data on  $B_{t_L-t_{L-1}}(x̂_L)$

then use consistency condition to show that the new  $\psi$  satisfies MT eq.s

References:

- Multi-Time Schrödinger Equations Cannot Contain Interaction Potentials  
S. Petrat and R. Tumulka  
arXiv: 1308.1065
- Consistency of Multi-Time Dirac Equations with General Interaction Potentials  
D.-A. Deckert and L. Nickel  
arXiv: 1603.02538