

Spring School on Multi-Time Wave Functions

Lecture 6: Born's Rule for Arbitrary Cauchy Surfaces

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1 The Curved Born Rule

Definition. A *Cauchy surface* is a subset Σ of Minkowski space-time $\mathcal{M}$ which is intersected by every inextensible causal [i.e., non-spacelike] curve exactly once.

$\approx$ spacelike hypersurface [but can have kinks and lightlike tangent vectors]

Curved Born rule. If detectors along Σ , then $\rho_\Sigma = |\psi_\Sigma|^2$, suitably interpreted.

For a Dirac wf $\psi_\Sigma(x_1 \dots x_N) \in (\mathbb{C}^4)^{\otimes N}$, I mean $|\cdot|^2$ using, in each spin space, the basis corresponding to the Lorentz frame tangent to Σ [picture]. Equivalently,

$$|\psi_\Sigma(x_1 \dots x_N)|^2 = \overline{\psi_\Sigma(x_1 \dots x_N)} \not{n}_1(x_1) \cdots \not{n}_N(x_N) \psi_\Sigma(x_1 \dots x_N). \quad (1)$$

Curved collapse rule. If detectors found the configuration in $A \subseteq \Sigma^N$, then ψ_Σ collapses to $1_A \psi_\Sigma / \|1_A \psi_\Sigma\|$.

Horizontal Born rule. If detectors along $\{x^0 = t\}$, then $\rho_t = |\varphi_t|^2$.

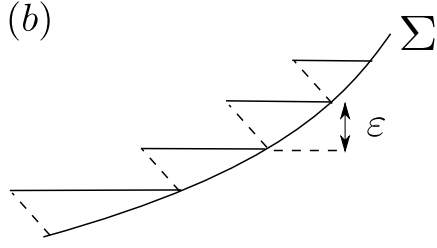
Horizontal collapse rule. If detectors found the configuration in $A \subseteq (\mathbb{R}^3)^N$, then φ_t collapses to $\varphi_{t+} = 1_A \varphi_t / \|1_A \varphi_t\|$.

Claim. HBR + HCR determine the statistics of outcomes of *any* experiment.

Reason. Any experiment [e.g., quantum measurement of spin] = unitary interaction with apparatus, followed by reading display of apparatus [picture: time t after Σ] $\square$

Corollary. If we assume HBR + HCR, then CBR and CCR are each either false or a theorem. [That is, we need a proof of CBR and CCR.]

Theorem 1. [Lienert and Tumulka 2017] HBR + HCR + IL + PL $\Rightarrow$ a version of CBR.



- IL and PL later
- Version: detection process = approximate Σ through horizontal pieces. Limit $\varepsilon \rightarrow 0$.
- We didn't prove CCR b/c this detection process yields more info than whether configuration in $A \Rightarrow$ collapses more narrowly than to A .
- Consequence: ρ_Σ can be expressed directly through multi-time wf ψ .
- Consequence: ψ on $\mathcal{S}$ has empirical significance.
- Valid for any N , Fock space, and several species. Presumably, also in curved space-time.

Theorem 2. [Bloch 1934] N non-interacting particles, $i\partial_{t_k}\psi = H_k\psi$. For each k , choose T_k , position measurement of particle k on $\{x^0 = T_k\}$ [picture], result Q_k . Assume HBR + HCR. Then

$$P := \text{Prob}\left(Q_1 \in d^3q_1, \dots, Q_N \in d^3q_N\right) = \left|\psi(T_1, q_1, \dots, T_N, q_N)\right|^2 d^3q_1 \cdots d^3q_N.$$

Proof. Compute P using φ , $\mathcal{H} = \otimes_k \mathcal{H}_k$, $H = \sum_k H_k$. Wlog order so that $T_1 \leq T_2 \leq \dots \leq T_N$. Let P_k = projection in $\mathcal{H}_k$ to position in d^3q_k . $\varphi(0) = \psi(0 \dots 0)$.

$$\text{Prob}(Q_1 \in d^3q_1) = \|P_1 e^{-iHT_1} \varphi(0)\|^2 \text{ (HBR)}$$

$$\varphi(T_1+) = P_1 e^{-iHT_1} \varphi(0) / \|\dots\| \text{ (HCR)}$$

$$\text{Prob}(Q_2 \in d^3q_2 | Q_1 \in d^3q_1) = \|P_2 e^{-iH(T_2-T_1)} \varphi(T_1+)\|^2 \text{ (HBR)}$$

$$\varphi(T_2+) = P_2 e^{-iH(T_2-T_1)} \varphi(T_1+) / \|\dots\| \text{ (HCR)}$$

$\vdots$

$$P = \|P_N e^{-iH(T_N-T_{N-1})} \dots P_2 e^{-iH(T_2-T_1)} P_1 e^{-iHT_1} \varphi(0)\|^2$$

$$\text{Using } e^{-iHt} = e^{-iH_1t} e^{-iH_2t} \dots e^{-iH_Nt} \text{ and } [P_k, e^{-iH_jt}] = 0 \text{ for } j \neq k,$$

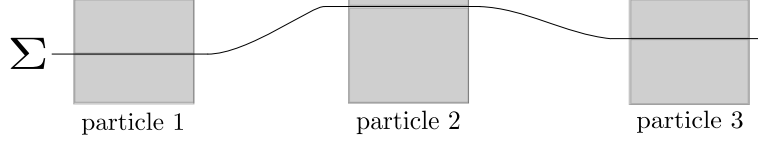
$$P = \|P_N e^{-iH_N(T_N-T_{N-1})} \dots P_1 e^{-iHT_1} \varphi(0)\|^2 \tag{2}$$

$$= \|P_N e^{-iH_N T_N} \dots P_1 e^{-iH_1 T_1} \varphi(0)\|^2 \tag{3}$$

$$= |\psi(T_1, q_1, \dots, T_N, q_N)|^2 d^3q_1 \cdots d^3q_N \tag{4}$$

as claimed. $\square$

Corollary. Consider N (possibly interacting) particles in spacelike separated regions $V_k \subset \mathcal{M}$, detectors along spacelike Σ . Suppose $\Sigma \cap V_k$ is horizontal for every k . Assume HBR + HCR. Then no interaction, and $\rho_\Sigma = |\psi_\Sigma|^2$. [special case of CBR]



Bohmian argument for CBR for 1 Dirac particle. According to Bohmian mechanics, particles have trajectories = integral curves of j^μ (causal) [picture]. Prob distr of random integral curve C such that

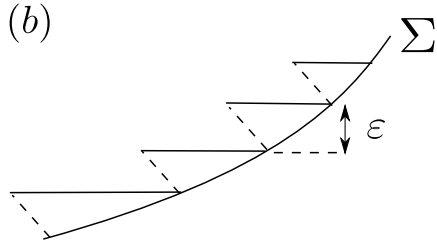
$$\forall \Sigma : \text{Prob}(C \cap \Sigma \subset d^3x) = j^\mu(x) n_\mu(x) d^3\sigma(x).$$

Particle gets detected at $C \cap \Sigma$, and the presence of detectors on Σ does not influence C before $\Sigma \Rightarrow \text{Prob}(\text{detection in } d^3x) = \text{Prob}(C \cap \Sigma \subset d^3x \text{ in the absence of detectors}) = \rho_\Sigma(x) d^3\sigma(x)$. $\square$

The argument does not work for $N \geq 2$ [b/c BM is nonlocal: detection of particle 1 at x_1 can change trajectory of particle 2 at spacelike separation.]

Proof of CBR from HBR + HCR for 1-particle Dirac eq in 1+1 dim.

Correspondence curved piece $\Sigma_\ell \leftrightarrow$ horizontal piece B_ℓ



Let $A_\ell = \{x^0 = \ell\} \cap \text{past}(\Sigma)$.

$\text{Prob}(\text{detect in } B_\ell | \text{prior collapses}) = \text{flux}(j, B_\ell | \text{collapses}) = \text{flux}(j, \Sigma_\ell | \text{collapses})$

$$= \frac{\text{flux}(j_{\psi|_{A_{\ell-1}}}, \Sigma_\ell)}{\text{Prob}(\text{prior detection})} = \frac{\text{flux}(j_\psi, \Sigma_\ell)}{\text{Prob}(\text{prior detection})}$$

Thus, $\text{Prob}(\text{detect in } B_\ell) = \text{flux}(j_\psi, \Sigma_\ell)$. $\square$

2 Theorem 1 and Hypersurface Evolution

Want Thm 1 for *every* MT evolution. [MT eqs provide simple formulation of concrete models, but it is hard to say what an *arbitrary* MT evolution is. Better:]

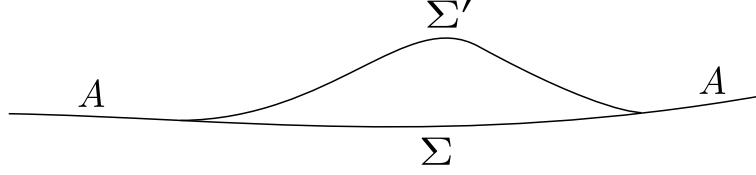
Formalization: “hypersurface evolution.” Def: (i)–(vi) below.

- (i) $\forall \Sigma : \mathcal{H}_\Sigma$
- (ii) $\forall \Sigma, \Sigma' : U_\Sigma^{\Sigma'} : \mathcal{H}_\Sigma \rightarrow \mathcal{H}_{\Sigma'}$ unitary such that $U_\Sigma^{\Sigma''} U_\Sigma^{\Sigma'} = U_\Sigma^{\Sigma''}$ and $U_\Sigma^\Sigma = I_\Sigma$
 - Let $\Gamma(\Sigma) = \{q \subset \Sigma : \#q < \infty\}$ space of unordered configurations.
 - A PVM (projection-valued measure) on a set S associates with $A \subseteq S$ a projection $P(A)$ in $\mathcal{H}$ such that $P(A_1 \cup A_2 \cup \dots) = P(A_1) + P(A_2) + \dots$ if $A_j \cap A_k = \emptyset$ for $j \neq k$ (“ σ -additive”) and $P(S) = I$. Examples:
 - On $\mathcal{H} = L^2(S)$, set $P(A)\psi = 1_A \psi$.
 - Spectral theorem: Self-adjoint [operator] T is associated w/ PVM P on $\mathbb{R}$. For $A \subseteq \mathbb{R}$, $P(A) = \text{proj to span}(\text{generalized eigenvectors with generalized eigenvalues in } A)$.
- (iii) $\forall \Sigma : \text{PVM } P_\Sigma \text{ on } \Gamma(\Sigma) \text{ acting on } \mathcal{H}_\Sigma \text{ (configuration observable)}$
 - Example: bosonic Fock space of $L^2(\Sigma)$ is $L^2(\Gamma(\Sigma))$, equipped with PVM on $\Gamma(\Sigma)$
 - Example: bosonic/fermionic Fock space of $L^2(\Sigma, \mathbb{C}^K)$ also automatically with PVM on $\Gamma(\Sigma)$
 - Example: $\text{Fock}_1 \otimes \text{Fock}_2$
 - N -particle sector of $\mathcal{H}_\Sigma$ is range of $P(\Gamma_N(\Sigma))$
 - formalizes $|\psi_\Sigma(x_1 \dots x_N)|^2 d^3\sigma(x_1) \dots d^3\sigma(x_N) = \|P_\Sigma(d^3x_1 \dots d^3x_N) \psi_\Sigma\|^2$
- (iv) absolutely continuous: $P_\Sigma(A) = 0$ for every set A of volume 0
- (v) unique vacuum: $\dim(0\text{-particle sector}) = 1$
- (vi) Factorization: If $A, B \subseteq \Sigma$ are disjoint, then $\mathcal{H}_{A \cup B} = \mathcal{H}_A \otimes \mathcal{H}_B$ and $P_{A \cup B} = P_A \otimes P_B$.
 - Example: True of Fock spaces:
 - $\Gamma(A \cup B) = \Gamma(A) \times \Gamma(B)$, therefore
 - $\text{Fock}(L^2(A \cup B)) = \text{Fock}(L^2(A)) \otimes \text{Fock}(L^2(B))$ and
 - $P_{A \cup B} = P_A \otimes P_B$ for Fock spaces.

3 Interaction locality

(IL): $\forall \Sigma, \Sigma'$ and $A \subseteq \Sigma \cap \Sigma'$: $U_{\Sigma}^{\Sigma'} = I_A \otimes U_{\Sigma \setminus A}^{\Sigma' \setminus A}$.

Last factor does not depend on A except through $\Sigma \setminus A$ and $\Sigma' \setminus A$.



- Expresses absence of interaction terms between spacelike separated regions.
- Presumably valid in our universe.
- IL $\not\Rightarrow$ Bell locality = no influences between events in spacelike separated regions.
- Bell's theorem: Bell locality is violated.
- Presumably, IL $\Leftarrow$ no superluminal signaling

4 Propagation locality

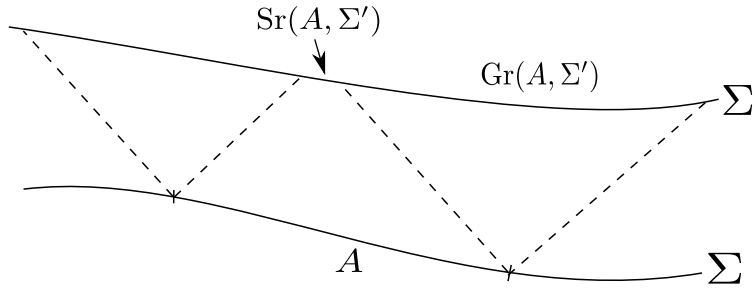
For $R \subseteq \Sigma$ define

$$\forall(R) = \{q \in \Gamma(\Sigma) : q \subseteq R\}. \quad (5)$$

Definition. $\psi_{\Sigma} \in \mathcal{H}_{\Sigma}$ is *concentrated in* $A \subseteq \Sigma$ iff $\psi_{\Sigma} \in \text{range } P_{\Sigma}(\forall(A))$. Equivalently, $\text{supp}_3(\psi_{\Sigma}) \subseteq A$.

Definition. $\forall \Sigma, \Sigma'$ and $A \subseteq \Sigma$, the *grown set* is

$$\text{Gr}(A, \Sigma') = [\text{future}(A) \cup \text{past}(A)] \cap \Sigma'.$$



Propagation locality (PL): Whenever ψ_Σ is concentrated in $A \subseteq \Sigma$, then $\psi_{\Sigma'}$ is concentrated in $\text{Gr}(A, \Sigma')$.

Examples of IL and PL

- Free MT Dirac evolution
- MT Dirac in 1+1 dim w/ zero-range interaction [Lienert 2015]
- MT em-ab model
- MT Dirac in 1+1 dim w/ IBC [Lienert and Nickel 2018]

Theorem 1 again. $\text{HBR} + \text{HCR} + \text{IL} + \text{PL} \Rightarrow \text{CBR}$